

Investment Advisor ("IA") Information (This portion to be completed by IA.)

IA Firm Name (please print): _____

IA Master Account Number: _____ Service Team: _____

Please complete this form if you are known by or have used any other names. You may also use this form to request a name change.

1. Account Holder Information

Eight-Digit Schwab Account Number(s) (List all affected account numbers.)

Account Holder's First Name _____ Middle _____ Last _____

2. Other Names

If you are known by other names please complete the information below with the corresponding signature(s).

First Name _____ Middle _____ Last _____

▶ _____
Signature (Sign as indicated above.)

First Name _____ Middle _____ Last _____

▶ _____
Signature (Sign as indicated above.)

3. Name Changes

To request a name change, please complete the information below and include verification of the name change (a copy of a Marriage Certificate, Divorce Decree or other Certified Court Document).

Former Name:

First Name _____ Middle _____ Last _____

New Name:

First Name _____ Middle _____ Last _____

4. Please Read and Sign

I authorize Charles Schwab & Co., Inc. to carry my account and register my securities in the following name:

First Name _____ Middle _____ Last _____

▶ _____ Date _____
Signature: Account Holder (mm/dd/yyyy)

